JAPB Rec'd PCT/PTO 37 FEB 2005

FORM PTO-1390 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ATT

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

P/4861-2

U.S. APPLICATION NO. (Ucknown see 67 CFR 1.5)

INTERNATIONAL APPLICATION NO

PCT/EP2004/008577

international filing date 30 July 2004

PRIORITY DATE CLAIMED

8 August 2003

TITLE OF INVENTION

### BIOADHESIVE GEL BASED ON HYDROXYETHYLCELLULOSE

APPLICANT(S) FOR DO/EO/US

#### Massimo PRINI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
- 2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The US has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - b. [X] has been communicated by the International Bureau.
  - c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [X] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [X] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. [ ] are attached hereto (required only if not communicated by the International Bureau).
  - b. [ ] have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [ ] have not been made and will not be made.
- 8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [X] An <u>Unexecuted</u> oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

#### Items 11 to 20 below concern other document(s) or information included:

- 11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [ ] An Application Data Sheet under 37 CFR 1.76
- 15. [ ] A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV606198532US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA

223131450, on February 7, 2006: Dorothy Jenkins

Name of Person Mailing Correspondence

Signature

February 7, 2006

Date of Signature

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## IAP5 Rec'd PCT/PTO 07 FEB 2006

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)				INTERNATIONAL APPLICATION NO. PCT/EP2004/008577			ATTORNEY'S DOCKET NUMBER P/4861-2	
20. [X] 4 Sheet of Drawings; EFS Form: postcard; Copy of 1 <sup>st</sup> page of publication; International Preliminary Examination Report PCT/EP2004/008577 dated November 22, 2005, Written Opinion of the international searching authority PCT/EP2004/008577, International Search Report PCT/EP2004/008577 dated December 20, 2004, PTO-1449 with 16 References.								
The following fees have been submitted								
21. [X] Basic national fee (37 CFR 1.492(a))\$300						\$300	\$300.00	PTO USE ONLY
22. [X] Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary  Examination report prepared by IPEA/US indicates all claims satisfy provisions  of PCT Article 33(1)-(4)								
23. [X] Search fee (37 CFR 1.492(b))							\$400.00	
If the written opinion of the ISA/US or the international preliminary examination Report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
TOTAL OF 21, 22 and 23 =							\$900.00	
[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole numb		nber)	RATE		
						x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).								
CLAIMS NU		NUMI	IBER FILED NUMBER EXTRA		RATE		\$	
Total Claims		4-20			x \$ 50		\$	
Independent Claims		1-3		x \$200			\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360							\$	
TOTAL OF ABOVE CALCULATIONS =							\$900.00	
[X] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.								
SUBTOTAL =							\$450.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$	
TOTAL NATIONAL FEE =							\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
TOTAL FEES ENCLOSED =							\$450.00	
	·						Amount to be refunded: Amount to be	\$
				B - 12		·	charged:	

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<ul> <li>a. [X] A check (check number 3863) in the amount of \$450.00 to cover the above fees is enclosed.</li> <li>b. [] Please charge my Deposit Account No. 15-0700 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.</li> <li>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.</li> </ul>						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
. Mark A. Farley, Esq. OSTROLENK, FABER, GERB & SOFFEN, LLP 1180 Avenue of the Americas New York, New York 10036-8402	SIGNATURE Mark A. Farley NAME					
Telephone: (212) 382-0700	33,170 REGISTRATION NUMBER					

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